

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2818
Examiner: Unassigned



Re PATENT APPLICATION of

Applicant : Tsutomu HIGUCHI

Appl. No. : 10/648,376

Filed : August 27, 2003

For : SEMICONDUCTOR MEMORY DEVICE

Atty. Dkt. : OKI 370

**INFORMATION
DISCLOSURE
STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(3), i.e., prior to a first Office Action on the merits.

Attached are copies of three references, including one Japanese patent publication with an English-language Abstract and two U.S. patents, both listed on the attached Form PTO-1449.

Since this Information Disclosure Statement is being filed before the first Office Action, no certification or fee is required, and the requirements of 37 C.F.R. §§1.97 and 1.98 are deemed to be fully met as to all documents submitted. Consideration of the submitted documents is respectfully requested.

Should any fee be required, please charge the same to our Deposit Account No. 18-0002 and advise us accordingly.

Respectfully submitted,

Steven M. Rabin - Reg. No. 29,102
RABIN & BERDO, P.C.

Telephone: (202) 371-8976

Telefax: (202) 408-0924

CUSTOMER NO. 23995

December 1, 2003
Date

SMR:tlc

FEE ENCLOSED: \$
Please charge any further
fee to our Deposit Account
No. 18-0002

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No. 18-0002



FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				Atty Docket OKI 370		Application No. 10/648,376	
				Applicant Tsutomo HIGUCHI			
				Filing Date August 27, 2003		Group Unit	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date
	AA	6,125,061	9/26/00	MITANI			11/4/99
	AB	6,211,015 B1	4/30/01	NOBLE			10/25/99
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub- Class	Trans- lation
	AI	2001084777A	3/1/03	Japan			Abstract
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AP						
	AQ						
	AR						
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							